

# Encoding labelled $p$ -Riordan graphs by words and pattern-avoiding permutation

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## Definition

A **Riordan matrix**  $L = [\ell_{ij}]_{i,j \geq 0}$  generated by two formal power series

$$g = \sum_{n=0}^{\infty} g_n t^n \quad \text{and} \quad f = \sum_{n=1}^{\infty} f_n t^n \quad \text{in } \mathbb{Z}[[t]]$$

is denoted as  $(g, f)$  and defined as an infinite lower triangular matrix whose  $j$ -th column generating function is  $g^{\#j}$ , i.e.  $\ell_{ij} = [t^i]g^{\#j}$  where  $[t^k] \sum_{n \geq 0} a_n t^n = a_k$ .

If  $g_0 \neq 0$  and  $f_1 \neq 0$  then the Riordan matrix is called **proper**.

## Definition

A simple graph  $G$  of order  $n$  is said to be a **Riordan graph** if the **adjacency matrix**  $A(G)$  can be expressed as

$$A(G) \equiv (tg, f)_n + (tg, f)_n^T \pmod{2}$$

for some generating functions  $g$  and  $f$  over  $\mathbb{Z}$  where  $(tg, f)_n$  is the  $n \times n$  leading principle matrix of the Riordan matrix  $(tg, f)$ .

A Riordan graph  $G$  on  $n$  vertices with the adjacency matrix  $A(G)$  given by above is denoted as  $G = G_n(g, f)$ . Moreover, we denote the set of Riordan graphs with  $n$  vertices by  $\mathcal{RG}_n$ .

- The number  $r_n$  of Riordan graphs of order  $n \geq 1$  is  $r_n = \frac{4^{n-1} + 2}{3}$ .

## Example (Pascal matrix)

Let  $g = \frac{1}{1-t}$  and  $f = \frac{t}{1-t}$ , then

$$gf^j = \frac{1}{1-t} \left( \frac{t}{1-t} \right)^j = \frac{t^j}{(1-t)^{j+1}} = \sum_{i=0}^{\infty} \binom{i}{j} t^i, \quad j \geq 0.$$

So, the Riordan matrix  $(g, f)$  is

$$\begin{bmatrix} 1 & & & & & \\ 1 & 1 & & & & 0 \\ 1 & 2 & 1 & & & \\ 1 & 3 & 3 & 1 & & \\ 1 & 4 & 6 & 4 & 1 & \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

## Example (Pascal matrix continued)

The adjacency matrix of  $G_6(g, f)$  is

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}.$$

## Definition

The definition of a  $p$ -Riordan graph is obtained by replacing “mod 2” by “mod  $p$ ” in the definition of a Riordan graph where  $p$  is a **prime** number.

We denote the set of  $p$ -Riordan graphs with  $n$  vertices by  $\mathcal{RG}_n^{(p)}$ .

In particular, the case of  $p = 3$  corresponds to **oriented Riordan graphs**.

- the number  $r_n^{(p)}$  of  $p$ -Riordan graphs for a prime  $p$  is  $r_n^{(p)} = \frac{p^{2(n-1)} + p}{p+1}$ .

## Definition

Let  $\mathcal{W}_n^{(p)}$  be the set of words  $w = w_1 w_2 \cdots w_n$ ,  $n \geq 1$ , over the alphabet  $A^{(p)} = \{a_{i,j} : 0 \leq i, j \leq p-1\}$  such that either

- $w = a_{0,0} a_{0,0} \cdots a_{0,0}$ , or
- there exist  $i$  and  $b$ ,  $1 \leq i \leq n$ ,  $1 \leq b \leq p-1$ , such that  $w_1 \cdots w_i = a_{0,0} a_{0,0} \cdots a_{0,0} a_{b,0}$ .

## Theorem 1

There is a bijection between  $\mathcal{RG}_{n+1}^{(p)}$  and  $\mathcal{W}_n^{(p)}$ .

- Theorem 1 is the reason we call the words in  $\mathcal{W}_n^{(p)}$   $p$ -Riordan words.

# Pattern-Avoiding Permutations

## Definition

The **reduced form of a permutation**  $\pi$ , denoted by  $\text{red}(\pi)$ , is the permutation obtained from  $\pi$  by substituting the  $i$ -th smallest element by  $i$ . For example,  $\text{red}(4287)=2143$ .

We say that a permutation  $\pi = \pi_1 \cdots \pi_n$  **avoids** a pattern  $\tau = \tau_1 \cdots \tau_k$  if there are indices  $1 \leq i_1 < i_2 < \cdots < i_k \leq n$  such that  $\text{red}(\pi_{i_1} \pi_{i_2} \cdots \pi_{i_k}) = \tau$ .

## Notation

The set of  $n$ -permutations that avoid simultaneously the patterns 123 and 132 is denoted by  $S_n(123, 132)$ .

- $|S_n(123, 132)| = 2^{n-1}$



# Encoding Riordan Graphs by Pattern-Avoiding Permutations

- Let  $P_{2n}$  be the set of permutations in  $S_{2n}(123, 132)$  with **two fixed points**.

## Theorem 2

There is a bijection between  $\mathcal{RG}_n$  and  $P_{2n}$ .

- Let  $\mathcal{B}_n$  be the set of words of length  $2n$  on alphabet  $\{0, 1, 2\}$  with an even number (possibly zero) of each letter. We call these words **balanced words**. **Balanced words** are connected to **oriented Riordan graphs** via the following theorem.

## Theorem 3

There is a bijection between  $\mathcal{RG}_{n+1}^{(3)}$  and  $\mathcal{B}_n$ .

- Explain combinatorially that Riordan graphs in  $\mathcal{RG}_n$  are in one-to-one correspondence with permutations in  $S_n(4321, 4123)$ , or in  $S_n(4321, 3412)$ , or in  $S_n(4123, 3214)$ , or in  $S_n(4123, 2143)$  that were enumerated in [D. Kremer, W. C. Shiu. Finite transition matrices for permutations avoiding pairs of length four patterns. *Discrete Appl. Math.* **268** (2003) 171–183.]; also see A047849 in the [On-Line Encyclopedia of Integer Sequences](#).