

Inversion sequences avoiding pairs of patterns

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Introduction

The enumeration of inversion sequences avoiding a single pattern was initiated by Corteel–Martinez–Savage–Weselcouch and Mansour–Shattuck independently. Their work has sparked various investigations of generalized patterns in inversion sequences, including patterns of relation triples by Martinez and Savage (2018), consecutive patterns by Auli and Elizalde (2019), and vincular patterns by Lin and Yan (2020).

Definition 1. A *permutation* of $[n] := \{1, 2, \dots, n\}$ is a word $\pi_1\pi_2\cdots\pi_n$ such that $\{\pi_1, \pi_2, \dots, \pi_n\} = [n]$. The set of permutations of $[n]$ is denoted by \mathfrak{S}_n .

Definition 2. An *inversion sequence* of length n is an integer sequence (e_1, e_2, \dots, e_n) with the restriction $0 \leq e_i \leq i - 1$ for all $1 \leq i \leq n$. The set of inversion sequences of length n is denoted by \mathbf{I}_n .

The n -th **Catalan number** $C_n = \frac{1}{n+1} \binom{2n}{n}$ enumerates Dyck paths of order n .

Theorem 1. For any $n \geq 1$ and a pattern pair $p \in \{(011, 021), (010, 021)\}$, we have $|\mathbf{I}_n(p)| = C_n$.

Remark 1. Theorem 1 was proved by Martinez and Savage, but we find a bijective proof.

The **Fibonacci number** F_n can be defined by the recurrence $F_n = F_{n-1} + F_{n-2}$ for $n \geq 2$ and the initial values $F_0 = 0$ and $F_1 = 1$.

Theorem 2. For any $n \geq 1$ and a pattern pair $p \in \{(012, 102), (012, 120), (011, 102)\}$, we have $|\mathbf{I}_n(p)| = F_{2n-1}$.

Martinez and Savage showed that $|\mathbf{I}_n(012)| = F_{2n-1}$ and we observe that $\mathbf{I}_n(012) = \mathbf{I}_n(012, 102) = \mathbf{I}_n(012, 120)$. We construct a recursive bijection between $\mathbf{I}_n(012)$ and $\mathbf{I}_n(011, 102)$.

Question 1. The number of $(321, 3412)$ -avoiding permutations, also known as *Boolean permutations*, in \mathfrak{S}_n is F_{2n-1} . Can one construct a bijection between $\mathfrak{S}_n(321, 3412)$ and $\mathbf{I}_n(012)$ or $\mathbf{I}_n(011, 102)$?

Definition 3. A word $W = w_1w_2\cdots w_n$ is said to avoid the word (or pattern) $P = p_1p_2\cdots p_k$ ($k \leq n$) if there does not exist $i_1 < i_2 < \cdots < i_k$ such that the subword $w_{i_1}w_{i_2}\cdots w_{i_k}$ of W is order isomorphic to P . For a set of words \mathcal{W} and a sequence of patterns P_1, \dots, P_r , let us denote $\mathcal{W}(P_1, \dots, P_r)$ the set of words in \mathcal{W} which avoid all patterns P_i for $i = 1, \dots, r$.

Definition 4. Fix a triple of binary relations $(\rho_1, \rho_2, \rho_3) \in \{<, >, \leq, \geq, =, \neq, -\}^3$. Let $\mathbf{I}_n(\rho_1, \rho_2, \rho_3)$ be the set of sequences $e \in \mathbf{I}_n$ with no $i < j < k$ such that

$$e_i \rho_1 e_j, \quad e_j \rho_2 e_k \quad \text{and} \quad e_i \rho_3 e_k.$$

Here the relation " $-$ " on a set S is all of $S \times S$, i.e., $x - y$ for all $x, y \in S$. For example, we have $\mathbf{I}_n(<, -, <) = \mathbf{I}_n(012, 021, 011)$ and $\mathbf{I}_n(<, >, \neq) = \mathbf{I}_n(021, 120)$.

The systematic study of the enumerative aspect of inversion sequences avoiding triple of binary relations was initiated by Martinez and Savage (2018). They proved that $|\mathbf{I}_n(>, \neq, -)| = 1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}$ and posed the following conjecture.

Conjecture 3 (Martinez and Savage [3]). For $n \geq 1$, we have

$$|\mathbf{I}_n(021, 120)| = 1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}.$$

This work was motivated by their conjecture.

The purpose of this work

In this poster, we carried out the systematic study of inversion sequences avoiding two patterns of length 3. Our enumerative results establish further connections to the OEIS sequences and some classical combinatorial objects, such as restricted permutations, weighted ordered trees and set partitions. Since patterns of relation triples are some special multiple patterns of length 3, our results complement the work by Martinez and Savage. In particular, we provide an algebraic proof of Conjecture 3.

Pattern pair (101,021): A bijection to weighted ordered trees

The algebraic generating function

$$A(t) = 1 + \frac{tA(t)}{1 - tA(t)^2}$$

appearing as A106228 in the OEIS enumerates many interesting combinatorial objects, including

- $(4123, 4132, 4213)$ -avoiding permutations, proved by Albert et al. [1];
- $(101, 102)$ -avoiding inversion sequences, proved by Cao et al. [2];
- weighted ordered trees, where each interior vertex (non-root, non-leaf) is weighted by a positive integer less than or equal to its outdegree.

We construct a “type”-preserving bijection from ordered trees of n edges to Dyck paths of length n and prove a new interpretation for A106228.

Theorem 4. Inversion sequences avoiding the pair $(101, 021)$ are counted by the integer sequence A106228.

Definition 5. Let $\mathbf{t} = (t_1, t_2, \dots, t_k)$ be a sequence of positive integers with $\sum_i t_i = n$. A Dyck path $D = h_1h_2\cdots h_n$ (h_i is the height of i th east step) has *type* \mathbf{t} if

$$h_i \neq h_{i+1} \iff i \in \{t_1, t_1 + t_2, \dots, t_1 + t_2 + \cdots + t_{k-1}\}.$$

For example, the Dyck path in the right-bottom side of Fig. 1 has type $(3, 1, 2, 1)$. Let \mathcal{D}_n be the set of Dyck paths of length n .

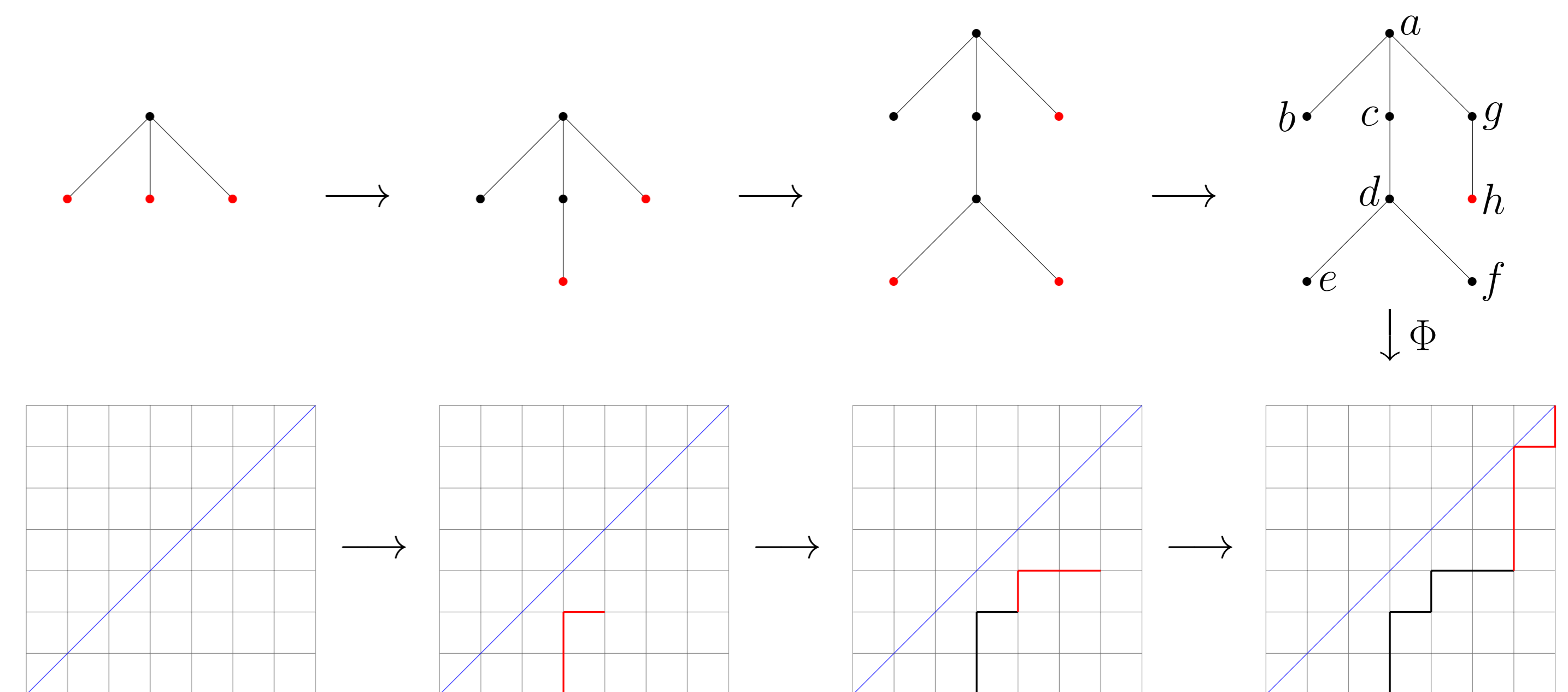


Figure 1: An example of the construction of the bijection Φ

Definition 6. In an ordered tree (or plane tree) T , the outdegree of a vertex $v \in V(T)$, denoted \deg_v , is the number of descendants of v . All vertices of T are ordered by the *preorder* (or *depth-first order*). If v_1 is the root of T and v_2, v_3, \dots, v_k are the interior vertices of T in preorder, then we called T has *type* $(\deg_{v_1}, \deg_{v_2}, \dots, \deg_{v_k})$. For instance, the tree in our running example has type $(\deg_a, \deg_b, \deg_c, \deg_d) = (3, 1, 2, 1)$. Let \mathfrak{T}_n be the set of all ordered trees with n edges.

Theorem 5. There exists a *type-preserving* bijection Φ from \mathfrak{T}_n to \mathcal{D}_n .

References

- [1] M.H. Albert, C. Homberger, J. Pantone, N. Shar and V. Vatter, Generating permutations with restricted containers, J. Combin. Theory Ser. A, **157** (2018), 205–232.
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Pattern pairs (110, 102) and (120, 102)

For a Dyck path $D = h_1 \cdots h_n \in \mathfrak{D}_n$, let $\text{last}(D) = h_n$ be the height of the last east step of D . Let us define $d_{n,m}$ the number of Dyck paths $D \in \mathfrak{D}_n$ with $\text{last}(D) = m$. Let

$$D(u, x) := \sum_{n \geq 1} d_{n,m} u^m x^n = x + (1+u)x^2 + (2u^2 + 2u + 1)x^3 + \cdots$$

be the enumerator of Dyck paths by the height of their last steps. It is known that $D(u, x)$ is algebraic and

$$D(u, x) = \frac{2x}{1 - 2x + \sqrt{1 - 4ux}}.$$

For any $0 \leq m < \ell \leq n$, denote by $a_{n,m,\ell}$ the number of (110, 102)-avoiding inversion sequences of length n in which the **largest entry is m** with the **left-most occurrence of m in position ℓ** . Then, the first few values of the arrays $[a_{n,m,\ell}]_{0 \leq m < \ell \leq n}$ are:

$$[1], \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 2 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 3 & 2 & 1 \\ 0 & 0 & 6 & 3 \\ 0 & 0 & 0 & 5 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 4 & 3 & 2 & 1 \\ 0 & 0 & 12 & 8 & 4 \\ 0 & 0 & 0 & 19 & 9 \\ 0 & 0 & 0 & 0 & 14 \end{bmatrix}.$$

We have the following recurrence relation for $a_{n,m,\ell}$.

Lemma 1. For $1 \leq m < \ell \leq n$, we have

$$a_{n,m,\ell} = d_{n-1,m} - d_{\ell-1,m} + d_{\ell-1,m-1} - \chi(m=1, \ell=2) + \sum_{j=0}^m \sum_{k=\ell-1}^{n-1} a_{n-1,j,k},$$

where $a_{n,0,\ell} = \delta_{\ell,1}$ for $1 \leq \ell \leq n$.

For any $n \geq 2$, let

$$a_n(u, v) := \sum_{\ell=2}^n \sum_{m=1}^{\ell-1} a_{n,m,\ell} u^m v^\ell.$$

Introduce the generating function $a(x; u, v)$ by

$$a(x; u, v) := \sum_{n \geq 2} a_n(u, v) x^n = uv^2 x^2 + (2uv^2 + uv^3 + 2u^2 v^3) x^3 + \cdots$$

Lemma 2. The functional equation for $a(x; u, v)$ is

$$a(x; u, v) = \frac{vx^2}{x-1} + \frac{vx}{1-v} D(uv, x) + \left(\frac{uvx - vx}{1-x} - \frac{v^2 x}{1-v} \right) D(u, xv) + \frac{uw^2 x}{(1-v)(1-uv)} a(x; uv, 1) + \frac{u^2 v^2 x}{(1-u)(1-uv)} a(x; 1, uv) - \frac{uv^2 x}{(1-u)(1-v)} a(x; u, v).$$

Theorem 3. We have

$$a(x; 1, 1) = \frac{x^2 + x^2 \sqrt{1-4x}}{(x-1)((3x-1)\sqrt{1-4x} - 4x^2 + 5x - 1)}.$$

Equivalently,

$$|I_n(110, 102)| = 1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}.$$

Similar approach can be applied to prove:

Theorem 4. For $n \geq 1$, we have

$$|I_n(120, 102)| = 1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}.$$

Wilf-equivalences

Theorem 8. The following three Wilf-equivalences hold:

$$(011, 201) \sim (011, 210), \quad (000, 201) \sim (000, 210) \quad \text{and} \quad (100, 021) \sim (110, 021).$$

The proof uses a bijection $\varphi : I_n(210) \rightarrow I_n(201)$ of Corteel et al. [1, Thm. 5].

Conjectures

Conjecture 10. The following Wilf-equivalences hold:

$$(011, 201) \sim (011, 210) \sim (110, 210, 120, 010) \sim (100, 210, 120, 010).$$

References

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Pattern pair (120,021): Martinez–Savage conjecture

The generating function for Catalan numbers is

$$C(x) := 1 + \sum_{n \geq 1} C_n x^n = \frac{1 - \sqrt{1 - 4x}}{2x}.$$

For our purpose, we color each east step of a Dyck path by black or red and call such a Dyck path a *colored Dyck path*. If the i -th east step has height k and color red, then we write $h_i = \bar{k}$. It was observed in [1] that an inversion sequence is 021-avoiding if and only if its positive entries are weakly increasing. By this characterization, each sequence $e \in I_n(021)$ can be represented by a colored Dyck path $\mathcal{H}(e) = h_1 h_2 \cdots h_n$, called the *outline of e* in [2, Def. 2.1], where

$$h_i = \begin{cases} e_i, & \text{if } e_i > 0, \\ \bar{k}, & \text{if } e_i = 0 \text{ and } k = \max\{e_1, \dots, e_i\}. \end{cases}$$

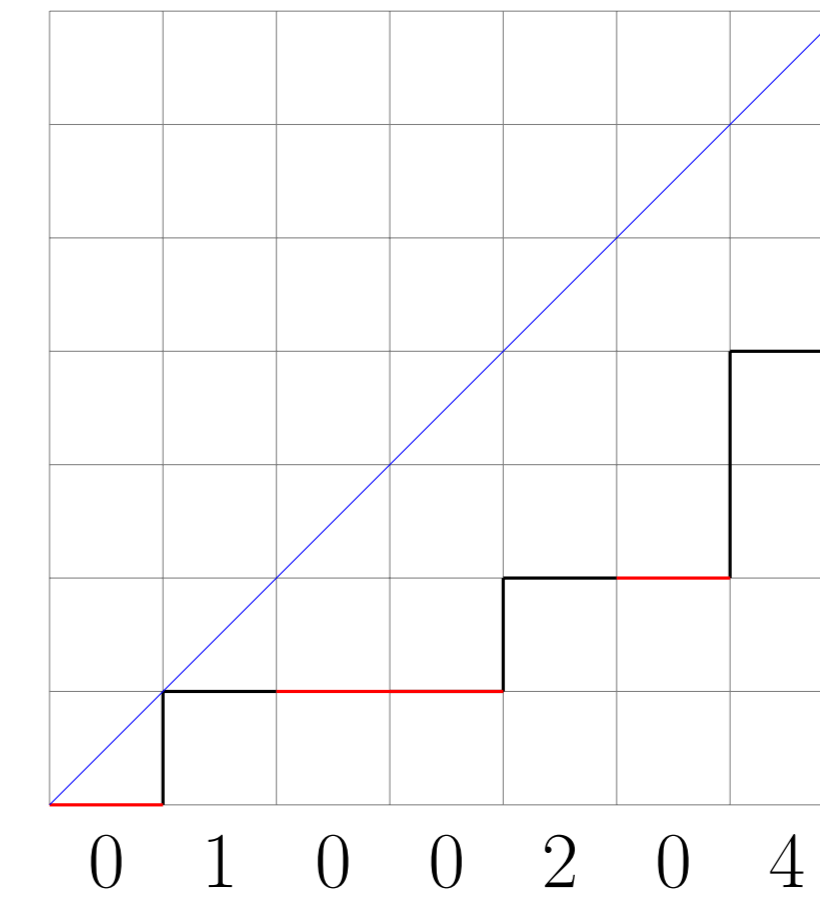


Figure 1: The outline of an inversion sequence

Let us denote by \mathcal{A}_n the set of colored Dyck paths of length n satisfying

- all the east step of height 0 are colored red, and
- the first east step of each positive height is colored black.

It clear that the geometric representation \mathcal{H} is a bijection between $I_n(021)$ and \mathcal{A}_n . Let \mathcal{B}_n be the set of colored Dyck paths in \mathcal{A}_n such that only east steps with smallest positive height can have two colors. The colored Dyck path $\bar{0}1\bar{1}\bar{1}2\bar{2}4$ in Fig. 1 is an element in $\mathcal{A}_7 \setminus \mathcal{B}_7$. Note that $I_n(<, >, \neq) = I_n(021, 120)$ and the geometric representation \mathcal{H} induces a bijection between $I_n(021, 120)$ and \mathcal{B}_n . Let

$$S(x) := 1 + \sum_{n \geq 1} |\mathcal{B}_n| x^n = 1 + x + 2x^2 + 6x^3 + 21x^4 + \cdots$$

Lemma 5. We have the following functional equation for $S(x)$:

$$S(x) = 1 + xH(x)/(1-x) + xC(x)(S(x) - 1/(1-x)).$$

Let \mathcal{C}_n be the set of colored Dyck paths of length n such that only east steps with height 0, except the first step (which is colored red), can have two colors. Introduce the generating function

$$H(x) := 1 + \sum_{n \geq 0} |\mathcal{C}_n| x^n = 1 + x + 3x^2 + 10x^3 + 35x^4 + \cdots$$

Lemma 6. We have the following functional equation for $H(x)$:

$$H(x) = 1 + x(2H(x) - 1)C(x). \quad (1)$$

Theorem 7. We have

$$S(x) = \frac{1 - 4x + \sqrt{-16x^3 + 20x^2 - 8x + 1}}{2(x-1)(4x-1)}.$$

Consequently, the Martinez–Savage conjecture (Conjecture 3) is true.

Theorem 9. There exists a bijection $\psi : I_n(201) \rightarrow I_n(210)$ which preserves the pattern 010 and the triple of statistics (zero, dist, satu). In particular, $(010, 201) \sim (010, 210)$.

The bijection $\varphi : I_n(210) \rightarrow I_n(201)$ of Corteel et al. [1, Thm. 5] does not preserve the pattern 010.

Pattern pairs whose avoidance sequences appear to match sequences in the OEIS

Pattern pair p	$a_n = I_n(p) $ counted by:	solved?	OEIS	a_8 , equiv class
(001, 010)	n	yes	A000027	8,A
(001, 011)	n	yes	A000027	8,B
(001, 012)	n	yes	A000027	8,C
(001, 110)	Lazy caterer sequence	yes	A000124	29,A
(001, 021)	Lazy caterer sequence	yes	A000124	29,B
(001, 120)	Lazy caterer sequence	yes	A000124	29,C
(000, 001)	Fibonacci number F_{n+1}	yes	A000045	34
(001, 100)	$F_{n+2} - 1$	yes	A000071	54
(001, 210)	Cake number $\binom{n}{3} + n$	yes	A000125	64
(000, 011)	2^{n-1}	yes	A000079	128,A
(001, 101)	2^{n-1}	yes	A000079	128,B
(001, 102)	2^{n-1}	yes	A000079	128,C
(001, 201)	2^{n-1}	yes	A000079	128,D
(010, 012)	2^{n-1}	yes	A000079	128,E
(011, 012)	2^{n-1}	yes	A000079	128,F
(110, 012)	$2^n - n$	yes	A000325	248,A
(012, 021)	$2^n - n$	yes	A000325	248,B
(012, 201)	$ \mathfrak{S}_n(321, 2143) $	yes	A088921	411,A
(012, 210)	$ \mathfrak{S}_n(321, 2143) $	yes	A088921	411,B
(011, 102)	F_{2n-1}	yes	A001519	610,A
(012, 102)	F_{2n-1}	yes	A001519	610,B
(012, 120)	F_{2n-1}	yes	A001519	610,C
(010, 011)	$\sum_{k=0}^{n-1} (n-k)^k$	yes	A026898	733
(011, 021)	Catalan number C_n	yes	A000108	1430,A
(010, 021)	Catalan number C_n	yes	A000108	1430,B
(011, 201)	$ I_n(-, >, \geq) = I_n(\neq, \geq, \geq) $	Open	A279555	3091,A
(011, 210)	$ I_n(-, >, \geq) = I_n(\neq, \geq, \geq) $	Open	A279555	3091,B
(021, 120)	$1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}$	yes	A279561	4082,A
(102, 120)	$1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}$	yes	A279561	4082,B
(110, 102)	$1 + \sum_{i=1}^{n-1} \binom{2i}{i-1}$	yes	A279561	4082,C
(010, 100)	Bell number B_n	yes	A000110	4140,D
(011, 101)	Bell number B_n	yes	A000110	4140,E
(011, 110)	Bell number B_n	yes	A000110	4140,F
(101, 021)	$ \mathfrak{S}_n(4123, 4132, 4213) $	yes	A106228	5798,B
(021, 201)	Large Schröder number S_n	yes	A006318	8558,A
(021, 210)	Large Schröder number S_n	yes	A006318	8558,B
(101, 110)	indecompsable set partitions	yes	A074664	11624

References

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[2] OEIS Foundation Inc., The On-Line Encyclopedia of Integer Sequences, <http://oeis.org>, 2019.

Pattern pairs whose avoidance sequences either have been studied in [1, 2, 3] or appear to be new in the OEIS

Pattern pair p	$a_n = \mathbf{I}_n(p) $ counted by:	calculated?	in OEIS?	a_8 , equiv class
(000, 012)	1, 2, 4, 5, 21, 0, 0, ...	Ultimately zero	new	0
(100, 012)	1, 2, 5, 12, 27, 56, 110, ...	Open	New	207
(101, 012)	$ \mathfrak{S}_n(321, 2413, 3142) $	In [2, Sec. 2.8]	A034943	351
(000, 021)	1, 2, 5, 14, 39, 111, 317, ...	Open	New	911
(000, 102)	1, 2, 5, 14, 40, 121, 373, ...	Open	New	1181
(000, 010)	1, 2, 4, 10, 29, 95, 345, ...	Open	A279552	1376
(011, 120)	1, 2, 5, 14, 42, 132, 431, ...	Open	New	1452
(100, 011)	Nexus numbers	In [2, Sec. 2.15]	A047970	2048
(021, 102)	1, 2, 6, 20, 66, 213, 683, ...	Open	New	2211
(010, 102)	1, 2, 5, 15, 51, 186, 707, ...	Open	New	2763
(000, 120)	1, 2, 5, 15, 50, 185, 737, ...	Open	New	3126
(010, 120)	1, 2, 5, 15, 52, 201, 845, ...	Open	A279559	3801
(010, 110)	1, 2, 5, 15, 52, 201, 847, ...	Open	New	3836
(010, 101)	Bell number B_n	In [2, Sec. 2.17]	A000110	4140,A
(000, 101)	Bell number B_n	In [3, Sec. 6]	A000110	4140,B
(000, 110)	Bell number B_n	In [3, Sec. 6]	A000110	4140,C
(100, 021)	1, 2, 6, 21, 78, 297, 1144, ...	Open	New	4433,A
(110, 021)	1, 2, 6, 21, 78, 297, 1144, ...	Open	New	4433,B
(010, 201)	1, 2, 5, 15, 53, 214, 958, ...	Open	New	4650,A
(010, 210)	1, 2, 5, 15, 53, 214, 958, ...	Open	New	4650,B
(100, 102)	1, 2, 6, 21, 80, 318, 1305, ...	Open	New	5487
(102, 210)	1, 2, 6, 22, 87, 351, 1416, ...	Open	New	5681
(101, 102)	$ \mathfrak{S}_n(4123, 4132, 4213) $	In [3, Sec. 4]	A106228	5798,A
(102, 201)	1, 2, 6, 22, 87, 354, 1465, ...	Open	A279566	6154
(000, 201)	1, 2, 5, 16, 60, 257, 1218, ...	Open	New	6270,A
(000, 210)	1, 2, 5, 16, 60, 257, 1218, ...	Open	New	6270,B
(000, 100)	1, 2, 5, 16, 60, 260, 1267, ...	Open	A279564	6850
(101, 120)	1, 2, 6, 22, 90, 397, 1859, ...	Open	New	9145
(100, 120)	1, 2, 6, 22, 92, 421, 2062, ...	Open	New	10646
(110, 120)	1, 2, 6, 22, 92, 423, 2091, ...	Open	A279570	10950
(100, 110)	1, 2, 6, 22, 93, 437, 2233, ...	Open	New	12227
(100, 101)	1, 2, 6, 22, 93, 439, 2267, ...	Open	New	12628
(120, 201)	1, 2, 6, 23, 102, 498, 2607, ...	Open	New	14386
(120, 210)	1, 2, 6, 23, 102, 499, 2625, ...	Open	A279573	14601
(110, 201)	1, 2, 6, 23, 103, 512, 2739, ...	Open	New	15464
(101, 210)	1, 2, 6, 23, 103, 513, 2763, ...	Open	New	15816
(101, 201)	$ \mathfrak{S}_n(21\bar{3}54) $	In [2, Sec. 2.27]	A117106	17734,A
(100, 210)	$ \mathfrak{S}_n(21\bar{3}54) $	In [1, Sec. 3.3]	A117106	17734,B
(100, 201)	$ \mathfrak{S}_n(21\bar{3}54) $	In [2, Sec. 2.27]	A117106	17734,C
(110, 210)	$ \mathfrak{S}_n(21\bar{3}54) $	In [2, Sec. 2.27]	A117106	17734,D
(201, 210)	$ \mathfrak{S}_n(MMP(0, 2, 0, 2)) $	In [2, Sec. 2.27]	A212198	23072

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