

# Enumerative and Algebraic Combinatorics of OEIS A071356

Chetak Hossain

Department of Mathematics  
North Carolina State University

July 9, 2018

# Integer Sequences

- The Catalan numbers (A000108): 1, 1, 2, 5, 14, 42, ...

- $$\sum_{n=0}^{\infty} c_n x^n = 1 + x + 2x^2 + 5x^3 + 14x^4 + \dots = \frac{1 - \sqrt{1 - 4x}}{2x}$$

- The sequence A071356 is: 1, 1, 2, 6, 20, 72, ...

- $$\sum_{n=0}^{\infty} a_n x^n = 1 + x + 2x^2 + 6x^3 + 20x^4 + \dots = \frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$$

## Definition

The set of inversion sequences is  $I_n = \{(e_1, \dots, e_n) \in \mathbb{N}^n \mid e_i \leq i - 1\}$

- A bijection between inversion sequences and permutations is to use the Lehmer code where we define

$$e_i = |\{j \mid j < i \text{ and } \pi_j < \pi_i\}|$$

and then reverse the sequence.

# Weakly Increasing Inversion Sequences

## Definition

Let

$$C_n = \{(e_1, \dots, e_n) \in I_n \mid e_1 \leq \dots \leq e_n\}$$

be the set of weakly increasing inversion sequences.

# Weakly Increasing Inversion Sequences

## Definition

Let

$$C_n = \{(e_1, \dots, e_n) \in I_n \mid e_1 \leq \dots \leq e_n\}$$

be the set of weakly increasing inversion sequences.

## Theorem

*The reverse Lehmer code bijection restricts to a bijection between elements of  $S_n(\underline{132})$  and weakly increasing inversion sequences.*

## Corollary

$$|C_n| = c_n$$

# Pattern Avoiding Inversion Sequences

## Definition

We recall from [Martinez-Savage 2017] that elements of  $\mathbf{I}_n(e_i > e_j \leq e_k)$  take the following form:

$$e_1 \leq \cdots \leq e_t > e_{t+1} > \cdots > e_n$$

for some  $t$  such that  $1 < t \leq n$ . Let  $t$  be called the *peak* of such an inversion sequence.

## Question (Martinez-Savage 2017)

Is  $|\mathbf{I}_n(e_i > e_j \leq e_k)|$  counted by OEIS A071356?

## Theorem (H. 2018)

The generating function of  $\mathbf{1}_n^R(e_i \geq e_j < e_k)$  is

$$\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}.$$

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof.



## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof.

- Given an inversion sequence of length  $n$  and peak  $t$ , there are two ways to break the sequence into two pieces so that the left piece is weakly increasing and the right piece is strictly decreasing.

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof.

- Given an inversion sequence of length  $n$  and peak  $t$ , there are two ways to break the sequence into two pieces so that the left piece is weakly increasing and the right piece is strictly decreasing.

$$e_1 \leq \cdots \leq e_{t-1} \quad e_t > e_{t+1} > \cdots > e_n$$

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof.

- Given an inversion sequence of length  $n$  and peak  $t$ , there are two ways to break the sequence into two pieces so that the left piece is weakly increasing and the right piece is strictly decreasing.

$$e_1 \leq \cdots \leq e_{t-1} \quad e_t > e_{t+1} > \cdots > e_n$$

$$e_1 \leq \cdots \leq e_t \quad e_{t+1} > \cdots > e_n$$

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

Proof continued.

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

- Conversely, given a weakly increasing sequence of length  $s$  and a subset of  $[0, s]$  sorted decreasing, we can glue them together to form an inversion sequence.

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

- Conversely, given a weakly increasing sequence of length  $s$  and a subset of  $[0, s]$  sorted decreasing, we can glue them together to form an inversion sequence.

$$I_{s,k} = \{((e_1, \dots, e_s), (e_{s+1}, \dots, e_{s+k})) \mid (e_1, \dots, e_s) \in C_s, s \geq e_{s+1} > \dots\}$$

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

- Conversely, given a weakly increasing sequence of length  $s$  and a subset of  $[0, s]$  sorted decreasing, we can glue them together to form an inversion sequence.

$$I_{s,k} = \{((e_1, \dots, e_s), (e_{s+1}, \dots, e_{s+k})) \mid (e_1, \dots, e_s) \in C_s, s \geq e_{s+1} > \dots\}$$

We can count the size of  $I_{s,k}$ , by noting that the left piece is counted by a Catalan number, and the right piece is counted by a binomial coefficient.

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

- Conversely, given a weakly increasing sequence of length  $s$  and a subset of  $[0, s]$  sorted decreasing, we can glue them together to form an inversion sequence.

$$I_{s,k} = \{((e_1, \dots, e_s), (e_{s+1}, \dots, e_{s+k})) \mid (e_1, \dots, e_s) \in C_s, s \geq e_{s+1} > \dots\}$$

We can count the size of  $I_{s,k}$ , by noting that the left piece is counted by a Catalan number, and the right piece is counted by a binomial coefficient.

$$|I_{s,k}| = c_s \binom{s+1}{k}$$



## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

Proof continued.

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

The generating function for twice the number of sequences is essentially the generating function for  $I_{s,n-s}$ .

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

The generating function for twice the number of sequences is essentially the generating function for  $l_{s,n-s}$ .

$$2 \sum_{n=2}^{\infty} a_n x^n = \sum_{s=2}^{\infty} \sum_{n=s}^{\infty} |l_{s,n-s}| x^n + 2x^2 + x^3$$

## Theorem (H. 2018)

The generating function of  $\mathbf{1}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

Proof continued.

## Theorem (H. 2018)

The generating function of  $\mathbf{1}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

$$2 \sum_{n=2}^{\infty} a_n x^n = \sum_{s=2}^{\infty} \sum_{n=s}^{\infty} |l_{s,n-s}| x^n + 2x^2 + x^3$$
$$k = n - s$$

$$2 \sum_{n=2}^{\infty} a_n x^n = \sum_{s=2}^{\infty} c_s x^s \sum_{k=0}^{\infty} \binom{s+1}{k} x^k + 2x^2 + x^3$$

## Theorem (H. 2018)

The generating function of  $\mathbf{1}_n^R(e_i \geq e_j < e_k)$  is  $\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}$ .

## Proof continued.

By the binomial theorem:

$$2 \sum_{n=2}^{\infty} a_n x^n = \sum_{s=2}^{\infty} c_s x^s (x+1)^{s+1} + 2x^2 + x^3$$

We note that by convention,  $a_0 = a_1 = 1$ .

$$2(A(x) - 1 - x) = \sum_{s=2}^{\infty} c_s x^s (x+1)^{s+1} + 2x^2 + x^3$$

## Theorem (H. 2018)

The generating function of  $\mathbf{I}_n^R(e_i \geq e_j < e_k)$  is

$$\frac{2x + 1 - \sqrt{1 - 4x - 4x^2}}{4x}.$$

## Proof continued.

We recall that the Catalan numbers have generating function

$\sum_{s=0}^{\infty} c_s y^s = \frac{1 - \sqrt{1 - 4y}}{2y}$ . Using the Catalan generating function for  $y = x(1 + x)$ , and after a routine computation, we find the desired generating function:

$$\sum_{n=0}^{\infty} a_n x^n = \frac{2x + 1 - \sqrt{1 - 4x(x + 1)}}{4x}$$



## Definition

Let  $\mathcal{D}_n$  be the set of Dyck paths, that is, underdiagonal paths in a  $n \times n$  box that use north and east steps of length 1.



# Lattice Paths

## Definition

Let  $\mathcal{D}_n$  be the set of Dyck paths, that is, underdiagonal paths in a  $n \times n$  box that use north and east steps of length 1.

## Definition

Let  $\mathcal{SP}_n$  be the set of Schröder paths, that is underdiagonal paths in a  $n \times n$  box consisting of north (length 1), east (length 1), and diagonal northeast (length  $\sqrt{2}$ ) steps.

# Lattice Paths

## Definition

Let  $\mathcal{D}_n$  be the set of Dyck paths, that is, underdiagonal paths in a  $n \times n$  box that use north and east steps of length 1.

## Definition

Let  $\mathcal{SP}_n$  be the set of Schröder paths, that is underdiagonal paths in a  $n \times n$  box consisting of north (length 1), east (length 1), and diagonal northeast (length  $\sqrt{2}$ ) steps.

## Definition

Let  $\mathcal{RSP}_n \subseteq \mathcal{SP}_n$  be the set of *restricted Schröder paths*, where there are no diagonal steps on the main diagonal and every diagonal step is immediately followed by an east step.

# Lattice Paths

## Definition

Let  $\mathcal{D}_n$  be the set of Dyck paths, that is, underdiagonal paths in a  $n \times n$  box that use north and east steps of length 1.

## Definition

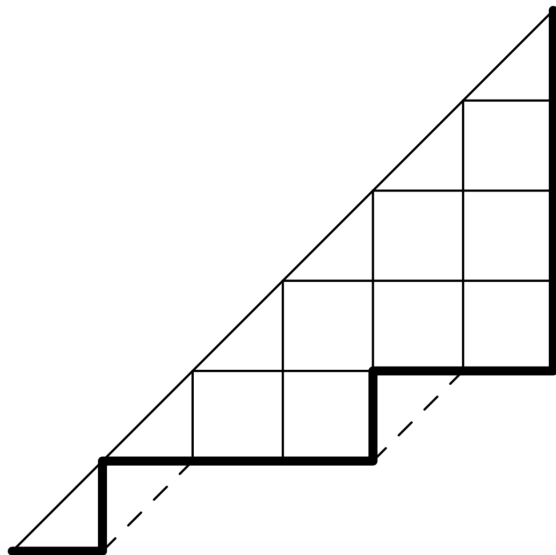
Let  $\mathcal{SP}_n$  be the set of Schröder paths, that is underdiagonal paths in a  $n \times n$  box consisting of north (length 1), east (length 1), and diagonal northeast (length  $\sqrt{2}$ ) steps.

## Definition

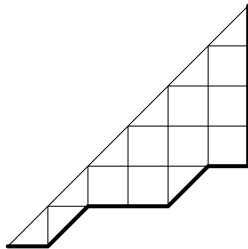
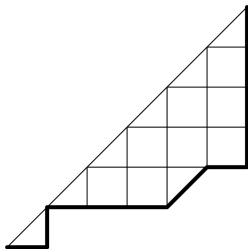
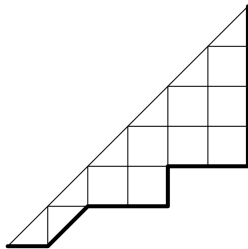
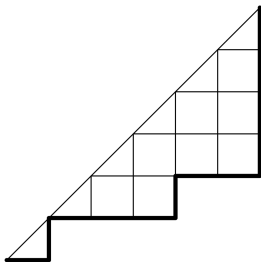
Let  $\mathcal{RSP}_n \subseteq \mathcal{SP}_n$  be the set of *restricted Schröder paths*, where there are no diagonal steps on the main diagonal and every diagonal step is immediately followed by an east step.

$$\mathcal{D}_n \subseteq \mathcal{RSP}_n \subseteq \mathcal{SP}_n$$

# Building Schröder paths from Dyck paths



# Lattice Path Examples



## Theorem

$|\mathcal{RSP}_n|$  is counted by OEIS A071356

## Theorem

$|\mathcal{RSP}_n|$  is counted by OEIS A071356

- [Aguiar-Moreira 2006] showed that a certain family of trees is counted by OEIS A071356.
- The paths are in bijection with the trees.

# Pattern Avoiding Permutations

## Definition

$S_n(\underline{4123}, \underline{4132}, \underline{2413}, \underline{3412})$  is the set of permutations such that for any descent  $\pi_i \pi_{i+1}$  where  $\pi_{i+1} - \pi_i \geq 3$ , all the values between  $\pi_{i+1}$  and  $\pi_i$  occur to the left of  $\pi_i$ .

## Theorem (H. 2015)

$|S_n(\underline{4123}, \underline{4132}, \underline{2413}, \underline{3412})|$  is counted by OEIS A071356.



# Dyck Inversions

## Definition

We call a pair  $(\sigma_i, \sigma_j)$  an *inversion* of  $\sigma$  if  $i < j$  and  $\sigma_i > \sigma_j$ .

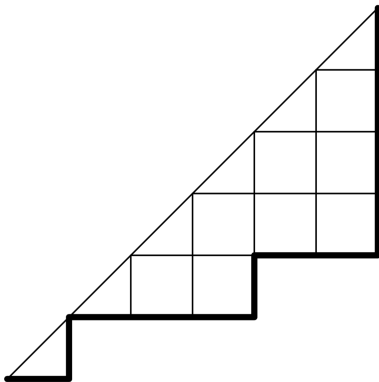
## Definition

We call a pair  $(\sigma_i, \sigma_j)$  an *inversion* of  $\sigma$  if  $i < j$  and  $\sigma_i > \sigma_j$ .

## Definition

A *non-Dyck inversion* of a permutation  $w \in S_n$  is an inversion  $(\sigma_i, \sigma_j)$  such that there exists some  $\sigma_k$  where  $i < j < k$  and  $\sigma_j < \sigma_k < \sigma_i$ . A *Dyck inversion* of a permutation  $\sigma \in S_n$  is an inversion  $(\sigma_i, \sigma_j)$  that is not a non-Dyck inversion.

$$\tau(641532) =$$



# Using Dyck Inversions to define a map $\tau : S_n \rightarrow \mathcal{D}_n$

## Definition

Let  $\tau : S_n \rightarrow \mathcal{D}_n$  be the following map. Let

$$d_i = |\{j \mid (\sigma_i, \sigma_j) \text{ is a Dyck inversion}\}|$$

$\tau(\sigma)$  is the unique Dyck path where the east step in the  $i$ th column occurs at height  $i - d_i + 1$ .

# Using Dyck Inversions to define a map $\tau : S_n \rightarrow \mathcal{D}_n$

## Definition

Let  $\tau : S_n \rightarrow \mathcal{D}_n$  be the following map. Let

$$d_i = |\{j \mid (\sigma_i, \sigma_j) \text{ is a Dyck inversion}\}|$$

$\tau(\sigma)$  is the unique Dyck path where the east step in the  $i$ th column occurs at height  $i - d_i + 1$ .

$\tau$  when restricted to  $S_n(\underline{312})$  recovers  $\tau_{Av}$ .

# Using Dyck Inversions to define a map $\tau : S_n \rightarrow \mathcal{D}_n$

## Definition

Let  $\tau : S_n \rightarrow \mathcal{D}_n$  be the following map. Let

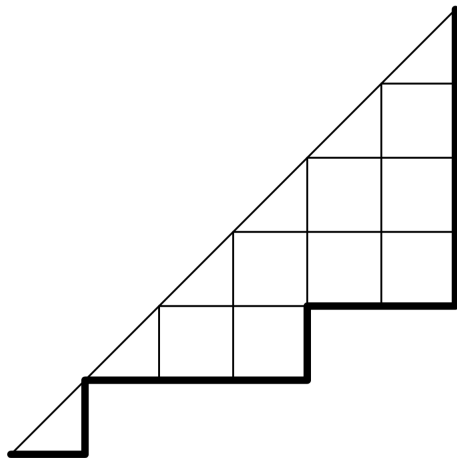
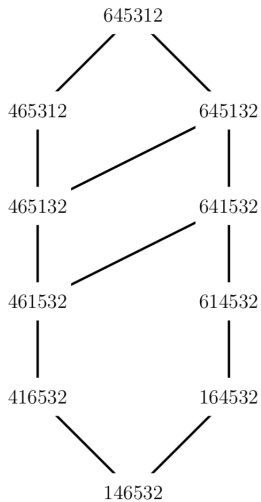
$$d_i = |\{j \mid (\sigma_i, \sigma_j) \text{ is a Dyck inversion}\}|$$

$\tau(\sigma)$  is the unique Dyck path where the east step in the  $i$ th column occurs at height  $i - d_i + 1$ .

$\tau$  when restricted to  $S_n(\underline{312})$  recovers  $\tau_{AV}$ .

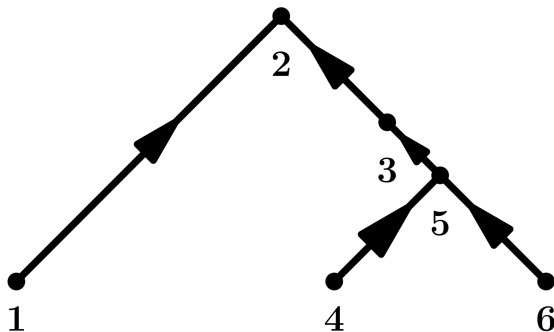
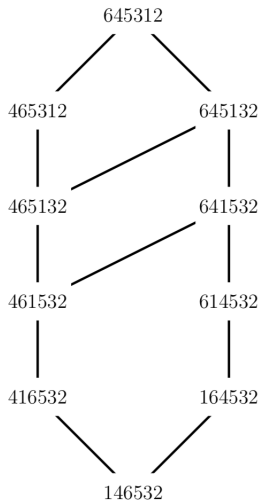
## Theorem (Bandlow-Killpatrick 2001)

*The map  $\tau_{AV}$  is a bijection. Moreover, it is statistic preserving sending inversions to area.*





# $\tau$ -posets



# Properties of $\tau$

# Properties of $\tau$

- $\tau$  is surjective.

# Properties of $\tau$

- $\tau$  is surjective.
- The fibers of  $\tau$  are intervals in the weak order

# Properties of $\tau$

- $\tau$  is surjective.
- The fibers of  $\tau$  are intervals in the weak order
- The top elements of the intervals have reverse Lehmer codes that are weakly increasing sequences.

# Properties of $\tau$

- $\tau$  is surjective.
- The fibers of  $\tau$  are intervals in the weak order
- The top elements of the intervals have reverse Lehmer codes that are weakly increasing sequences.
- $\tau$  restricted to the top elements gives a bijection between the weakly increasing sequences and  $\mathcal{D}_n$ .

A map  $\omega : S_n \rightarrow \mathcal{RSP}_n$

A map  $\omega : S_n \rightarrow \mathcal{RSP}_n$

- Given a permutation  $\sigma$ , build the Dyck path  $\tau(\sigma)$ .

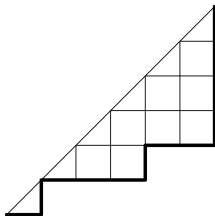


# A map $\omega : S_n \rightarrow \mathcal{RSP}_n$

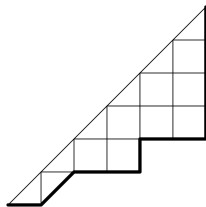
- Given a permutation  $\sigma$ , build the Dyck path  $\tau(\sigma)$ .
- Use the relative order of the atoms of the binary tree in the permutation to decide where the triangles appear.

# $\omega$ examples

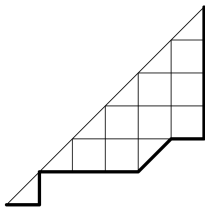
$$\omega(146532) =$$



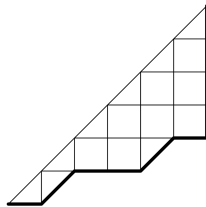
$$\omega(164532) =$$



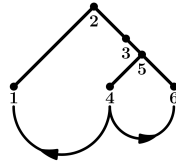
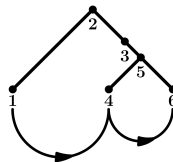
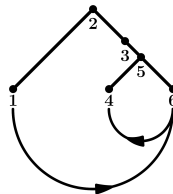
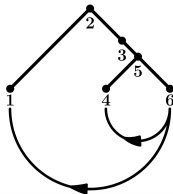
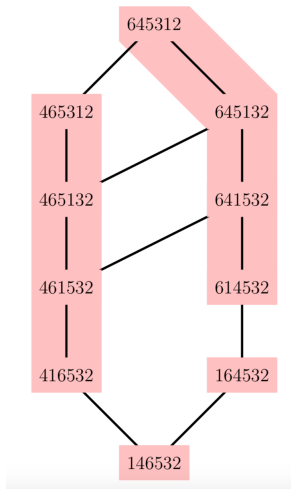
$$\omega(465132) =$$



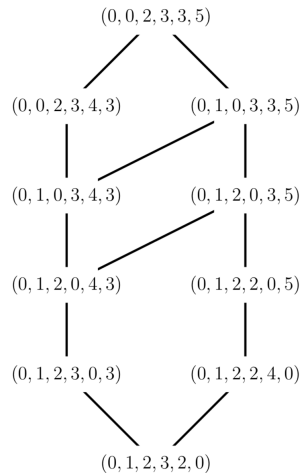
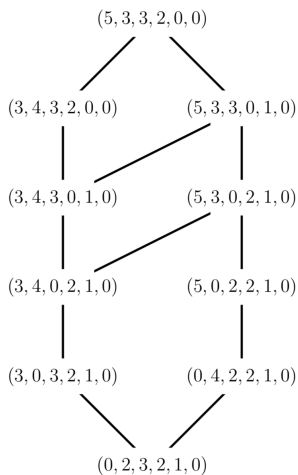
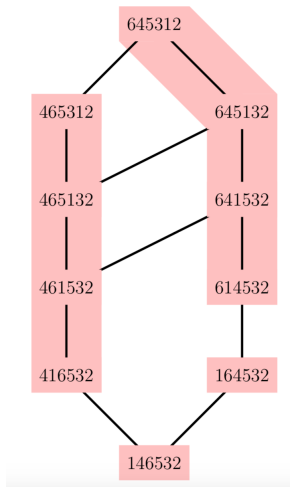
$$\omega(641532) =$$



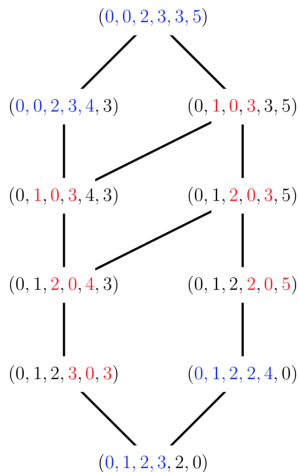
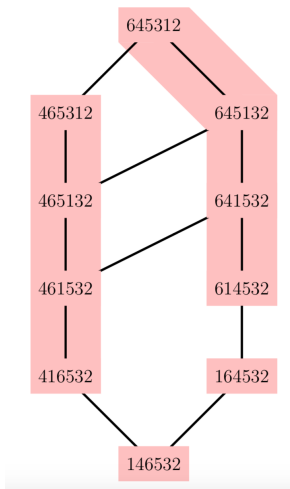
# $\omega$ -fibers



# $\omega$ -fiber codes



# $\omega$ -fiber codes



# Bijection between Inversion Sequences and $\mathcal{RSP}_n$

- We defined a surjective map  $\omega : S_n \rightarrow \mathcal{RSP}_n$

# Bijection between Inversion Sequences and $\mathcal{RSP}_n$

- We defined a surjective map  $\omega : S_n \rightarrow \mathcal{RSP}_n$
- The fibers of  $\omega$  are intervals.

# Bijection between Inversion Sequences and $\mathcal{RSP}_n$

- We defined a surjective map  $\omega : S_n \rightarrow \mathcal{RSP}_n$
- The fibers of  $\omega$  are intervals.
- The Lehmer codes of the top elements of the intervals are precisely the pattern avoiding inversion sequences.



# Bijection between Inversion Sequences and $\mathcal{RSP}_n$

- We defined a surjective map  $\omega : S_n \rightarrow \mathcal{RSP}_n$
- The fibers of  $\omega$  are intervals.
- The Lehmer codes of the top elements of the intervals are precisely the pattern avoiding inversion sequences.
- The number of inversion sequences is the same as the number of paths.

# Bijection between Inversion Sequences and $\mathcal{RSP}_n$

- We defined a surjective map  $\omega : S_n \rightarrow \mathcal{RSP}_n$
- The fibers of  $\omega$  are intervals.
- The Lehmer codes of the top elements of the intervals are precisely the pattern avoiding inversion sequences.
- The number of inversion sequences is the same as the number of paths.
- Therefore,  $\omega$  restricted to the top elements gives the desired bijection.

Thank you for listening!